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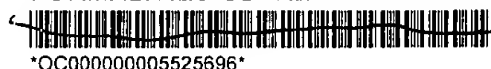
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/640,211 | 08/16/2000 | Marion Wood | 11000.1021clu |

Janet Sleath
Speckman Law Group
1501 Western Avenue
Suite 100
Seattle, WA 98101

FORMALITIES LETTER



OC000000005525696

Date Mailed: 11/02/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason (s):
 - A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-1123
- For CRF Submission Help, call (703) 308-4212
- PatentIn Software Program Support
- - For Technical Assistance, call (703) 287-0200
- - To Purchase PatentIn Software, call (703) 306-2600

- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D. C. 20231.
Date: December 19, 2000

Selena Whitaker-Paquet

Attorney Docket No. 11000.1021c1U
PATENT APPLICATION

Section #
Box 500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Marion Wood, Annette McGrath, Michael A. Shenk, and Matthew Glenn**

Group Art Unit: 1638

Application No. : 09/640,211
Filed : August 16, 2000
For : **COMPOSITIONS AND METHODS FOR THE
MODIFICATION OF GENE TRANSCRIPTION**

RESPONSE TO NOTICE TO FILE MISSING PARTS TRANSMITTAL LETTER

ATTENTION: BOX MISSING PARTS
Assistant Commissioner For Patents
Washington, D.C. 20231

Enclosed for filing in the above-referenced patent application are the following:

- [X] Copy of Form PTO-1533, Notice to File Missing Parts of Application;
- [X] Executed Combined Declaration and Power of Attorney for Patent Application;
- [X] Sequence Listing transmittal letter;
- [X] CD-R Copy 1 and Copy 2 of the Sequence Listing (2.97MB; 763 pages) pursuant to 37 CFR 1.52 (e);
- [X] A Statement for Sequence Listing Transmittal is enclosed herewith together with an ASCII Computer Readable (CRF) Sequence pursuant to 37 CFR 1.821(f); and
- [X] Supplemental Information Disclosure Statement and Form PTO-1449 w/35 refs.
- [X] A check in the amount of \$130.00 in payment of fee for Late Filing of Declaration.

The Commissioner is hereby authorized to charge any additional fees, which may be required in connection with the filing of these documents, or to credit any overpayment to Deposit Account No. 19-3555. This document is filed in duplicate.

Respectfully submitted,

Janet Sleath
Registration No. 37,007

Date: December 19, 2000
SPECKMAN LAW GROUP



20601

PATENT TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D. C. 20231.

Date: December 19, 2000

Selena Whitaker-Paquet

Attorney Docket No. 11000.1021c1U
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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and Matthew Glenn**

Group Art Unit: 1638

Application No. : 09/640,211
Filed : August 16, 2000
For : **COMPOSITIONS AND METHODS FOR THE
MODIFICATION OF GENE TRANSCRIPTION**

SEQUENCE LISTING TRANSMITTAL

ATTENTION: BOX MISSING PARTS
Assistant Commissioner For Patents
Washington, D.C. 20231

Sir or Madam:

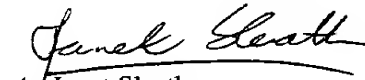
Transmitted herewith for filing are the following:

1. CD-R Copy 1 of sequence listing, File Name: "1021C1U.SEQ", the size of which is 2.97MB (763 pages), and was created on December 19, 2000, pursuant to 37 CFR 1.52 (e) in IBM-PC MS-Windows format; and
2. CD-R Copy 2 of sequence listing, File Name: "1021C1U.SEQ", the size of which is 2.97MB (763 pages), and was created on December 19, 2000, pursuant to 37 CFR 1.52 (e) in IBM-PC MS-Windows format.

The undersigned verifies that, to the best of her knowledge, after making a comparison, the content of the accompanying CD-R Copy 1 and Copy 2 of the written Sequence Listing are identical.

The Commissioner is hereby authorized to charge any additional fees, which may be required in connection with the filing of these documents, or to credit any overpayment, to Deposit Account No. 19-3555.

Respectfully submitted,


Janet Sleath
Registration No. 37,007

Date: December 19, 2000



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